Attorney Docket No. C1039.70083US06 Express Mail Label No. EV 292460289 US Date of Deposit: February 26, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Arthur M. Krieg et al.

Serial No:

Not yet assigned

Confirmation No:

Filed:

Herewith

For:

IMMUNOMODULATORY OLIGONUCLEOTIDES

Examiner:

Not yet assigned Not yet assigned

Art Unit:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

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The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application (copies enclosed with parent patent applications US Serial No.: 10/690,495, 09/415,142 or 08/386,063 except 10/690,495, a copy of which is enclosed herewith, C1039-70083US01 through 70083US07, are identical to the parent and therefore copies are not enclosed):

Docket Number	Serial Number	Filing Date	Inventor(s)
C1037.70013US00	09/776,479	2/2/01	Bratzler et al.
C1037.70016US00	09/009,634	1/20/98	Hutcherson et al.
C1037.70021US00	09/949,194	9/7/01	Peterson et al.
C1037.70025US00	10/017,995	12/14/01	Bratzler
C1037.70038US01	10/735,592	12/11/03	Krieg et al.
C1037.70041US00	10/613,749	7/3/03	Krieg
C1037.70042US00	10/613,524	7/3/03	Krieg
C1037.70043US00	10/613,739	7/3/03	Krieg
C1037.70044US00	10/613,716	7/3/03	Krieg
C1037.70045US00	10/613,228	7/3/03	Krieg
C1037.70046US00	10/455,247	6/5/03	Krieg
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Docket Number	Serial Number	Filing Date	Inventor(s)
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C1041.70037US00	10/407,952	4/4/03	Lipford et al.
C1041.70040US00	10/666,844	9/19/03	Lipford et al.

Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

Serial No.: -4- Art Unit:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Arthur M. Krieg et al., Applicant

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Docket No. C1039.70083US06 Date: February 2004

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FORM PTO	0-1449/A and B (M	odifie	d)	APPLICATION NO.:		ATTY. DOCKET NO.: C1039.70083US06
_	RMATION D			FILING DATE:	Herewith	CONFIRMATION NO.:
STAT 	EMENT BY	APP	LICANT	APPLICANT:	Arthur M. Krieg et a	al.
Sheet	1	of	7	GROUP ART UNIT:	Not yet assigned	EXAMINER: Not yet assigned

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STAT	EMENT BY	APP	PLICANT	APPLICANT:	Arthur M. Krieg et a	ıl.
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STAT	EMENT BY	APP	LICAN	Τ	APPLICANT:	Arthur M. Krieg et	al.
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			APPLICANT:	Arthur M. Krieg et al.		
Sheet	5	of	7	GROUP ART UNIT:	Not yet assigned	EXAMINER: Not yet assigned

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Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.				
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INFORMATION DISCLOSURE	FILING DATE:	Herewith	CONFIRMATION NO.:	
STATEMENT BY APPLICANT	APPLICANT:	Arthur M. Krieg et al.		
Sheet 6 of 7	GROUP ART UNIT:	Not yet assigned	EXAMINER: Not yet assigned	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

		OTHER ART — NON PATENT DITERATORE DOCUMENTS	Translation			
Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.				
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EXAMINER	DATE CONSIDERED

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^{**}a copy of this reference is not provided as it was previously cited by or submitted to the office in one of the following prior applications, Serial No. <u>08/386,063</u>, filed <u>02/07/1995</u>, Serial No. <u>09/415,142</u>, filed <u>10/09/99</u>, Serial No. <u>10/690,495</u>, filed <u>10/21/03</u> and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

^{***} a copy of this reference is not provided as it was cited by Examiner in Serial No. 09/415,142 , filed 10/09/99